



## Yun Wang

Partner

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**Mr. Yun Wang has been providing advice in the financial sector at Shin & Kim since 2020.**

As a public-service advocate, Mr. Wang served at the Financial Services Commission for two years where he provided legislation support for the Act on the Protection of Financial Consumers, litigation related to market discipline disruptions and administrative dispositions of various financial committees, and legal interpretation support.

As a partner at Shin & Kim, he provides various legal advice on capital market laws and services including on funds, trusts, and securities, financial consumer protection laws, other financial disputes, and other financial regulation-related services. In providing legal advice on business operations of financial institutions, he has been involved in numerous tasks of inspecting and establishing internal control systems of domestic financial institutions. He has also participated in the response to financial supervisory authorities' administrative sanctions, including investigation of unfair trade practices, as well as in the licensing of financial institutions. In 2025, he was seconded to Samsung Securities to support legal and compliance-related matters.

## Professional Career

2025	Secondment to Samsung Securities
2020-Present	Shin & Kim LLC
2019-2020	Public-Service Judge Advocate, Secondment in Anti-Corruption & Civil Rights Commission, National Litigation, Ministry of Justice
2017-Present	Member of the Financial Law Forum of the Financial Research Society (formerly the Financial Law Research Group under the SNU Center for Financial Law) from 2017 to 2025; served as Secretary from 2017 to 2019
2017-2019	Public-Service Judge Advocate, Secondment in Financial Services Commission, National Litigation, Ministry of Justice

## Key Experience

- Advised domestic banks on the response to the Financial Supervisory Service's inspection
- Advised domestic banks on entrusted assets (including the establishment and inspection of the internal control system)
- Advised domestic banks in relation to the response to the implementation of the Financial Consumer Protection Act, including the establishment of an internal control system
- Advised domestic banks on the establishment of NPL investment companies
- Advised domestic banks on responding to best practices in door-to-door sales
- Advised domestic holding companies on whether they engaged in unfair trade practices under the Capital Markets Act
- Advised domestic holding companies and general corporations on the response to the investigation of material nonpublic information activities under the Capital Markets Act
- Advised domestic banks on sanctions by the Financial Supervisory Service on whether they violated regulations related to the sale of private equity funds
- Advised domestic banks on follow-up measures for disputes, etc. related to the sale of private equity funds
- Advised domestic banks and domestic securities companies on the sale of retirement pensions
- Advised domestic banks and domestic asset management companies on the entrustment of business (including the inspection of internal control systems related to entrustment)
- Advised domestic banks and domestic asset management companies on violations related to direct sales of funds
- Advised domestic asset management companies on investment in overseas indirect funds
- Advised on response to the Financial Supervisory Service's inspection of domestic asset management companies
- Advised domestic asset management companies on foreign exchange transactions
- Research service for the Korea Financial Investment Association on the amendment of the Foreign Exchange Transactions Act
- Advised on the establishment of alternate trading systems
- Advised on establishment and registration of new technology venture capital companies
- Advised on investment of new technology business finance companies
- Advised on approval of change of major shareholders in relation to the acquisition of Meritz Asset Management by KCGI
- Advised on Hana Securities' Incheon Midan Joint 7 District PF
- Advised on reverse inquiry in relation to the operation of a foreign financial company

## Education

2024	Seoul National University Advanced Program in Financial Law(coursework completed, Digital Finance Law)
2017-2019	Seoul National University Graduate School of Law (Ph.D. coursework completed)
2014-2017	Seoul National University School of Law (J.D.)
2006-2013	Seoul National University College of Law (LL.B.)

## Qualifications

2017	Admitted to bar, Korea
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## Languages

Korean, English