



## 鄭多玪

Associate

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Dah Hyun Chung is an associate at Shin & Kim whose practice focuses on financial institution inspections and sanctions, internal control counseling for financial institutions, financial consumer protection counseling, financial licensing counseling, and general corporate law.

Ms. Chung is an associate in the Financial Regulation Group, where she provides legal advice on matters related to the Financial Investment Services and Capital Markets Act, the Specialized Credit Finance Business Act, the Act on the Protection of Financial Consumers, the Financial Holding Companies Act, the Act on the Improvement of the Financial Industry, the Electronic Financial Transactions Act, and other laws related to credit information. She has been engaged in a number of consulting projects, including the establishment and review of internal control systems of Korean financial institutions and the financial consumer protection systems. She has also represented and advised clients in responding to unfair trade practice investigations and administrative sanctions imposed by financial regulatory authorities and has been involved in the authorization and licensing of financial institutions.

## 経歴

2021-Present      Shin & Kim LLC

## 主な実績

### Responding to financial institution inspections and sanctions

- Represented and advised a Korean bank in responding to financial regulatory sanctions for embezzlement
- Represented and advised a Korean bank in responding to financial regulatory sanctions for misclassifying the risk

rating of a fund

- Represented and advised a Korean bank in responding to financial regulatory sanctions for regulatory violations in connection with the sale of private equity funds
- Represented and advised a Korean asset management company in responding to financial regulatory sanctions for providing loans to major shareholders and prohibition on dual employment

#### **General advice for financial institutions**

- Advised a Korean bank on the enforcement of the Act on the Protection of Financial Consumers, including the establishment of an internal control system
- Advised a Korean bank in response to the enforcement of the best practices for door-to-door sales
- Advised a Korean bank on the revision of its bylaws
- Advised a Korean bank in connection with its asset custody business, including the establishment and review of an internal control system
- Advised a Korean bank on the follow-up of disputes in connection with the sale of private equity funds
- Advised a Korean bank and a Korean asset management company in connection with the delegation of business, including the review of a related internal control system
- Advised a Korean bank on the establishment and operation of a supply chain financial management platform
- Advised a Korean bank on the operation of an online customer service channel

#### **Authorization and licensing of financial institutions**

- Advised a financial company on approval of change of controlling shareholder
- Advised a financial holding company in connection with the inclusion of a company as a subsidiary
- Advised a specialized new technology venture financing company on its formation and business registration
- Advised a specialized new technology venture financing company on its investment

#### **Other advisory services**

- Advised a KOSPI-listed company in connection with its initial public offering
- Advised a KOSDAQ-listed company in connection with its initial public offering
- Advised listed companies on their preparation of corporate governance reports
- Advised a venture capital company on establishing ESG-related bylaws

**學歷**

2018-2021	Korea University, School of Law (J.D., 2021)
2012-2017	Korea University, Business School (B.B.A., 2017)

## 資格

2021	Admitted to bar, Korea
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## 言語

Korean, English