



## 林起勋

Partner

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Mr. Kihoon Lim is currently a partner in the Financial Regulation Group at Shin & Kim, where his practice focuses on financial regulation, response to inspections and sanctions, consulting services for financial companies, securities and finance litigation, and Japan practice.

Mr. Lim's practice focuses on financial regulation, response to inspections and sanctions, consulting services for financial companies, and securities and finance litigation. Mr. Lim has practical experience as a secondee at a securities firm, and he also provides financial regulatory advice to Japanese companies. In addition, he has experience in responding to unfair trading investigations such as market price manipulation and fraudulent unfair trading, as well as advising on financial institution licensing, concurrent business, and ancillary business. Based on his extensive experience, Mr. Lim provides comprehensive services ranging from advisory services to litigation.

Mr. Lim graduated from Korea University College of Law (LL.B.) and completed the 43rd judicial training course at the Judicial Research and Training Institute. After serving as a public-service advocate at the Ministry of SMEs and Startups and the Ministry of Justice, Mr. Lim joined Shin & Kim. In 2022, Mr. Lim received his master's degree from Kyushu University Law School in Japan with a thesis on "Adjustment of Usage Fee in Case of Change of Circumstances in PPP Projects." In 2023, he served as a secondee at Samsung Securities Co., Ltd.

## 工作经历

2017-Present	Shin & Kim LLC
2015-2017	Public-Service Judge Advocate, Nationality Division, Ministry of Justice
2014-2015	Public-Service Judge Advocate, Small and Medium Business Administration
2012-2014	Judicial Research & Training Institute of the Supreme Court of Korea

# 主要业绩

## Financial Regulatory Advice

- Advised on response measures to the failure of a private equity fund sold by a major bank
- Advised a major bank on developing a comprehensive plan for a private equity fund that suspended redemption
- Advised a major securities company on the post-management of a real estate PF
- Advised a major securities company on the management of a PEF
- Advised a major securities company on conflict of interest and blocking of information exchange
- Advised a major securities company on the management of a pension trust
- Advised a major fund management company on the liquidation and post-management of a private equity fund that invested in Hanzomon real estate in Tokyo, Japan and handled subsequent dispute proceedings
- Advised a major fund management company on the management of a private equity fund that purchased a property in Roppongi, Tokyo, Japan
- Advised a major fund management company on the management of a private equity fund that purchased a property in Ikebukuro, Tokyo, Japan
- Advised a major fund management company on the recovery of investments
- Advised a major Korean holding company on the establishment of an overseas management company and investment in an offshore fund

## Response to Inspection and Sanction

- Advised a major bank on response to inspection and sanction in relation to the ELT in the Hong Kong H Index
- Advised a major bank on response to inspection and sanction and subsequent follow-up management of a large-scale embezzlement case by its employee
- Advised a major bank on response to inspection and sanction relating to the risk classification of funds
- Advised a major financial holding company on response to the Financial Supervisory Service's inspection and sanctions for violation of the Financial Holding Companies Act
- Advised on response to investigations related to unfair trading (market price manipulation and fraudulent unfair transaction)

## Consulting Services for Financial Companies

- Advised a major bank by conducting a review of internal control bylaws
- Advised a major bank by conducting a comparative study of U.S. and Japanese regulatory standards for the discretionary investment business
- Advised a major bank by conducting a comparative study of U.S. and Japanese regulatory standards for the trust

business

- Advised a major securities firm by developing internal control standards for trust business

### Securities and Finance Litigation

- Represented major banks and securities companies in a number of civil and administrative lawsuits involving private equity funds
- Represented a major securities company in civil litigation involving a real estate PF
- Represented the executives and employees of a major management company in a case involving violation of the Capital Markets Act (fraudulent unfair transaction) and obtained acquittal
- Represented a major management company in civil litigation involving an Australian real estate private equity fund
- Represented in civil and criminal litigation relating to unfair trading (market price manipulation and fraudulent unfair transaction)

### Japan Practice

- Advised the Ministry of Economy, Trade and Industry of Japan on Korea's Foreign Investment Promotion Act and the Foreign Exchange Transactions Act
- Advised the Tokyo Stock Exchange of Japan on Korea's Commercial Act, Capital Markets Act, Act on External Audit of Stock Companies and disclosure system
- Advised a Japanese financial company on the Korean legal system
- Advised a Japanese company investing in a Korean company on Korea's Foreign Exchange Transactions Act
- Advised on the liquidation of a Japanese company in which a Korean company invested

## 教育背景

2021-2022	Kyushu University Graduate School of Law (LL.M.)
2011-2016	Korea University Graduate School of Law (LL.M. coursework completed)
2004-2011	Korea University College of Law (LL.B.)

## 资格

2014	Admitted to bar, Korea
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## 语言

Korean, English, Japanese

## 外部评价

- "Rising Star" for Financial Regulation, Legal Times, 2025

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